



PROPOSAL AND CONTRACT (WHEN EXECUTED) INSTRUCTIONS ATTACHED

THIS PROPOSAL INCLUDES INSTRUCTIONS TO BIDDERS

A. DEPOSIT OF PROPOSALS.

All envelopes containing Bid proposals shall be clearly marked "Bid Proposal for letting of May 1, 2020 ." DATE

North East Township, 2nd Class MUNICIPALITY (NAME & TYPE)

Karen Ahlgrim SECRETARY

Sealed Proposals will be received on or before 12:00PM on the above Letting Date. TIME

10300 West Main Road North East, PA 16428 ADDRESS

Bids will be opened and read at approximately 12:00PM , on the above Letting Date. TIME

PROPOSALS MUST BE MAILED OR OTHERWISE DELIVERED TO THE ABOVE ADDRESS.

- 1 The contractor proposes to furnish and deliver all materials (including Form CS-4171, CERTIFICATE OF COMPLIANCE and/ or TR-465 DAILY BITUMINOUS MIXTURE CERTIFICATION) and to do and perform all work on the following project as more specifically set forth in the Schedule of Prices (Attachment), in accordance with drawings and specifications on file at North East Township, 2nd Class as well as the supplements and special requirements contained herein and/ or attached hereto and current PennDOT Specifications (Publication 408), except (a) bidders need not be prequalified by PennDOT (Sec.102.01), and (b) Volumetric testing of bituminous paving materials is not required (Sec. 409).
2 If designated as the successful bidder, the contractor will begin work on the date specified in the notice to proceed, or as otherwise provided in the special requirements, and will complete all work within see attachment 1-A calendar days.
3 Accompanying this proposal is a certified check or bid bond in the amount of 10% made payable to the municipality as a proposal guarantee which, it is understood, will be forfeited in case the contractor fails to comply with the requirements of the proposal.

B. PROPOSAL OF:

NAME / ADDRESS OF CONTRACTOR

CONTRACTORS CERTIFICATION

It is hereby certified as follows:

- 1 The only person interested in the proposal as principal (s) is (are):
2 None of the above persons are employees of the municipality.
3 This proposal is made without collusion with any other person, firm or corporation.
4 All plans and specifications referred to above and the site of the work have been examined by the contractor. The contractor understands that the quantities indicated herein are approximate and are subject to change as may be required; and that all work is payable on the basis of the unit price listed on the Schedule of Prices. (Attachment 1).

- 5 The contractor will comply with all requirements of the laws and implementing regulations of the Commonwealth of Pennsylvania and the United States relating to human relations, equal opportunity and non-discrimination in employment, and will pay to workmen employed in the performance of the contract the wages to which they may be entitled.

- 6 The contractor will provide the municipality with a performance bond, conditioned upon the faithful performance of the contract in accordance with the plans, specifications and conditions thereof, and a payment bond, conditioned on the prompt payment of all material furnished and labor supplied or performed in the prosecution of the work, in accordance with the Public Works Contractors' Bond Law of 1967; and an affidavit accepting the provisions of the Workmen's Compensation Act of 1915, as amended.

CONTRACTOR

BY: _____ DATE: _____
TITLE:

WITNESSED OR ATTESTED BY: _____ DATE: _____
TITLE:

TO BE EXECUTED ONLY IN THE EVENT THE ABOVE PROPOSAL IS ACCEPTED

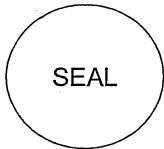
ACCEPTED ON : _____
DATE

MUNICIPALITY

BY: _____
TITLE:

BY: _____
TITLE:

BY: _____
TITLE:



ATTESTED BY: _____
TITLE:

**SPECIAL PROVISIONS TO CONTRACT MS-944 (Attachment 1-A) - PAGE 1 OF 2
CONTAINS IMPORTANT INFORMATION FOR THE CONTRACTOR**

The Prime Contractor and subcontractors must comply with all of the following provisions that are marked with an "X".

- This Proposal and Contract is made under, subject to, and governed by Specification 408/2020, Initial Edition, effective April 10, 2020 of the Pennsylvania Department of Transportation.
- Traffic Control and Safety Devices to be provided by the contractor. (Maintenance and Protection of Traffic to comply with current MUTCD, PennDot Publication 212 and PennDot Publication 213).
- Contractor required to review proposed project with municipality's representative prior to bidding. Contact Gus Neff at (814) 882-4767, to schedule a review of the project.
- The municipality reserves the right to eliminate items of work.
- The lowest responsible bidder must comply with the Public Works Employment Verification Act by submitting a Commonwealth Public Works Employment Verification Form to the Public Body prior to award of Contract. The form and relevant information is attached with the Bid Package. Return completed form with submitted bid package.
- Contractor to supply proof of CDL Drug and Alcohol Compliance upon award of the bid.
- Contractor to provide Asphalt Quality Control Plan (Form CS-409) and all Asphalt Mix Designs (Form TR-448A) to the municipality 5 days prior to start of work.
- Notify the municipality 5 working days prior to the start of physical work.
- n/a No physical work prior to XXXXXX, unless authorized by the municipality.
- n/a No work on XXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXX.
- No work permitted on weekends unless authorized by the municipality.
- Work to be completed on or before September 1, 2020. Liquidated damages apply at the rate of \$885.00 per calender day after this completion date.
- Prevailing wages apply if contract exceeds \$100,000.00.
- Contractor required to notify all residents of pending work to be performed.
- Contractor must receive authorization from municipality if the anticipated work is to exceed 100% of planned quantity.
- The municipality is responsible for the inspection of the project.
- Incidental preparation and clean up required (Project Construction Materials). Excess material to be removed by the contractor.
- n/a Municipality will retain bituminous millings. Contractor responsible for delivering the millings to XXXXXXXXXXXXXXXX. Contractor responsible for coordinating delivery and whether the contractor is reponsible for providing a loader and operator to stockpile the material.
- Saw cut or Milled Paving Notch required at abutting pavement which includes intersecting roads and paved driveways (considered incidental to paving item).
- Asphalt Tack Coat required for each paving course (considered incidental to paving item).
- Scratch/ Leveling Courses to be placed at the discretion of appointed inspector(s).
- n/a Full width pavement with one pass required.
- Asphalt material to be accepted by certification.
- n/a Compaction to be accepted by Optimum Rolling Pattern. Contractor to complete Optimun Rolling Pattern for Asphalt Density Acceptance by Nuclear Method, Form TR-4276B, and provide a copy to the municipality.
- Asphalt seal required on all abutting pavement (including asphalt driveways) , new centerline longitudinal pavement joints, along existing concrete curbs, and around any inlets, manhole covers, water valves and gas valves (considered incidental to wearing item). Any inlet grates, manholes, and valve boxes sealed shut will be cleaned to the satisfaction of the municiality without any additional compensation to the contractor.
- Contractor required to have approved sample bottles, bags, and boxes available if the municipality requests samples.
- All required documentation must be submitted to the municipality prior to any invoice payments. Required documentation are as follows: Bituminous Quality Control Plan, Mix Designs, Material Delivery Tickets, Material Certifications (CS-4171), Asphalt Bill of Lading(s), Tack Coat Bill of Lading, Optimum Rolling Pattern (TR-4276B), and the notice of Completion (MS-NCP).

PROPOSAL AND CONTRACT INSTRUCTIONS- FORM 944

- 1 The proposal must be typewritten or printed.
- 2 If more than one proposal on any project is submitted by an individual, firm or partnership, corporation or association under the same or different names, only one proposal, the lowest, will be considered.
- 3 Description of work—A. If additional space is needed, insert appropriately numbered attachment and note "Continued on attached work sheets"
- 4 Part A of Page 1 is to be completed by municipality. Part B of Page 1 to be completed by contractor. Schedule of Prices -Column #1 (Item) ,#2 (Approximate Quantities), #3 (Unit, i.e., ton, square yard, linear foot, etc.) and #4 (Description, i.e., bituminous materials -9.5mm S & L, 12.5mmWearing, 25.0mm Base Course, etc.) must be filled in by municipality to insure equitable bidding. Columns #5 (Unit Price), #6 (Total) and total amount of bid, must be filled in by the contractor. If more space is needed, add note at bottom of the page, "Continued on Attachment No. 1-A"; and add additional sheet designated as Attachment No. 1-A, 1-B, etc. Repeat for each additional sheet required. As required by Publication 408 (current edition), Section 102.06 (e), each bidder must submit a completed Form D-7126, Anti-Collusion Affidavit (included in this Form MS-944), with its bid proposal.
- 5 If liquidated damages are to be assessed, add the following sentence to Part A #2: "If all work is not completed on time, liquidated damages will be assessed at the rate of \$885.00 per additional working day." (OR"... as set forth in the attached schedule").
- 6 Only the successful bidder is obligated to provide payment and performance bonds. These bonds shall conform to the requirements of the relevant municipal code or charter and the Public Works Contractors' Bond Law of 1967, Act of December 20, 1967, P.L. 869, No.385, 8 P.S. §§ 191—202. Bond forms are included in this Form MS-944 as Attachments 2 (performance bond) and 3 (payment bond). The successful bidder must submit the bonds and the Workmen's Compensation Act Affidavit, Attachment 4, within 20 days of the contract award. Failure to submit the bonds shall constitute grounds to cancel the contract
- 7 If the estimated cost of a contract for "public work," as this term is defined in the Pennsylvania Prevailing Wage Act, Act of August 15, 1961, P.L. 987, No. 442, as amended, 43 P.S. §§ 165-1—165-17, exceeds \$100,000.00 and the contract is paid for in whole or part out of the funds of the municipality, the municipality shall take the following actions: (1) Determine the prevailing minimum wage rates from the Department of Labor and Industry, Bureau of Labor Law Compliance. (2) Indicate in the advertisement issued for the purpose of securing bids for the contract that prevailing wage rates shall be paid on the project. (3) Specify the prevailing minimum wage rates in the bid proposals for the contract. On projects financed partly with federal funds, if the project cost exceeds \$2,000.00 and 25% or more of the funding comes from federal funds, the Davis-Bacon Act, 40 U.S.C. §§ 3141—3145, applies. Here again, it is the responsibility of the municipality to obtain the Davis-Bacon wage rates from the United States Department of Labor, Wage and Hour Division, which has offices in Philadelphia, Pittsburgh and Wilkes-Barre; include them in the proposal; and note the fact in the advertisement. If both acts are applicable, the Davis-Bacon Act preempts the Pennsylvania Prevailing Wage Act and applies to the entire project, not just the federally-funded components.
- 8 An ESCALATOR CLAUSE is optional; if used, it must be included in the proposal prepared by the municipality. An escalator clause may not be inserted by the contractor.

PERFORMANCE BOND (With Corporate Surety)



pennsylvania
DEPARTMENT OF TRANSPORTATION

KNOW ALL MEN BY THESE PRESENTS, That we,

(NAME AND ADDRESS OF CONTRACTOR)

as Principal and _____
(SURETY COMPANY)

a corporation incorporated under the laws of the State of _____ as Surety
(NAME OF STATE)

are held and firmly bound unto _____ in the full and just sum of
(NAME OF MUNICIPALITY) (\$ _____) dollars

lawful money to the United States of America, to be paid to the above Municipality or its assigns, to which payment well and truly to be made, we bind ourselves, our heirs, executors, administrators, successors and assigns, jointly and severally, firmly by these presents.

WHEREAS, the above bounden Principal has entered into a contract with the above Municipality, bearing even date herewith, for the undertaking of certain obligations as therein set forth.

NOW, THEREFORE, the condition of this obligation is such that if the above bounden Principal, as Contractor, shall in all respects comply with and faithfully perform the terms and conditions of said Contract, including the Specifications and conditions referred to and made a part thereof, and such alterations as may be made in said Specifications as therein provided, and shall well and truly, and in a manner satisfactory to the municipality fulfill all obligations as therein set forth, then this Obligation shall be void, but otherwise the same shall be and remain in full force, virtue and effect.

It is further provided that any alteration which may be made in the terms of the contractor or its specifications with the express approval of the Municipality or the Principal to the other, shall not in any way release the Principal and the Surety or either or any of them, their heirs, executors, administrators, successors or assigns from their liability hereunder, notice to the surety of any such alteration or forbearance being hereby waived.

IN WITNESS WHEREOF, the said Principal and Surety have duly executed this Bond under Seal, pursuant to due and legal action authorizing the same to be done on _____
(DATE OF BOND)



Attest / Witness: _____
CONTRACTOR

BY _____
TITLE:

TITLE:



Attest / Witness: _____
SURETY COMPANY

TITLE:

TITLE:



KNOW ALL MEN BY THESE PRESENTS, that we

[Redacted box]

as PRINCIPAL and a corporation incorporated under the laws of the State of _____ as SURETY, are held and firmly bond unto the _____, in the full and just sum of _____ (\$ _____) dollars, lawful money of the United States of America, to be paid to the said _____ or its assigns, to which payment well and truly to be made, we bind ourselves, our heirs, executors, administrators, successors and assigns, jointly and severally, firmly by these presents.

WHEREAS, the above bounden Principal has entered into a contract with the above municipality hereinafter called Obligee, bearing even date herewith, for the improvement of a certain section of highway or bridge in said Municipality consisting of:

_____ for approximately the sum of: _____ (\$ _____) dollars.

NOW, THEREFORE, the condition of this obligation is such that if the above bounden PRINCIPAL shall and will promptly pay or cause to be paid in full all sums of money which may be due by contract or otherwise, to any individual, firm, partnership, association or corporation, for all material furnished or labor supplied or performed in the prosecution of the work, whether or not the said for material or labor entered into and became component parts of the work and for rental of the equipment used and services rendered by public utilities in, or in connection with the prosecution of such work, then this obligation to be void, otherwise to remain in full force and effect.

The PRINCIPAL and SURETY, hereby, jointly and severally, agree with the Obligee herein that any individual firm, partnership, association or corporation, which has performed labor or furnished material in the prosecution of the work as provided, and any public utility which has not been paid in full therefor, may sue in assumpsit on this Payment Bond in his, their, or its own name and may prosecute the same to final for such sum or sums as may be justly due him, them or it, and have execution thereon. Provided, however, that the Obligee shall not be liable for the payment of any costs of expenses of such suit.

RECOVERY by any individual, firm, partnership, association or corporation hereunder shall be subject to the provisions of the "Public Works Contractors' Bond Law of 1967", Act No. 385, approved December 20, 1967, P.L. 869, which Act shall be incorporated herein and made a part hereof, as fully and completely as though its provisions were fully and at length herein recited.

It is further provided that any alterations which may be made in the terms of the contract or in the work to be done or materials to be furnished or labor to be supplied or performed under it or the giving by the Obligee of any extension of time for the performance of the contract or any other forbearance on the part of either the Obligee or the Principal to the other, shall not in any way release the PRINCIPAL and the SURETY or SURETIES of any such alteration, extension of forbearance being hereby waived.

IN WITNESS WHEREOF, the said PRINCIPAL and SURETY have duly executed this Bond under seal this _____ day of _____, 20____.



WITNESS:

CONTRACTOR

TITLE:

BY:

TITLE:



WITNESS:

SURETY COMPANY

TITLE:

TITLE:



AFFIDAVIT RE

ACCEPTING PROVISIONS OF THE WORKMEN'S COMPENSATION ACT

State of

)

)

ss:

)

County of

)

being duly sworn according to law deposes and says that they have he has
it has

accepted the provisions of the Workmen's Compensation Act of 1915 of the Commonwealth of Pennsylvania, with

its supplements and amendments, and have insured their liability thereunder in accordance with the terms of said
its has his

Act with

(SURETY COMPANY)

(TYPE OR PRINT)

CONTRACTOR

BY

SIGNATURE

Sworn to and subscribed before me this ____ day of _____ A.D. 20 ____.

SIGNATURE

My Commission Expires

_____ (DATE)

ANTI-COLLUSION AFFIDAVIT



County Erie

Municipality North East Township, 2nd Class

Project Number N/A

Fed. Project No. _____
(If Applicable)

State of _____

County of _____

The undersigned deponent deposes and says that he is the _____
of the _____ Company; that he is authorized to make this
affidavit on behalf of said company in compliance with section 102.06 (e) of Department Specifications,
Publication 408, as amended and that the said company has not, either directly or indirectly, entered
into any agreement, participated in any collusion, or otherwise taken any action in restraint of free
competitive bidding in connection with such contract.

(Contractor)

BY

Sworn to and subscribed before me the undersigned notary public this

_____ day of _____, _____.

Notary Public

My Commission expires _____



North East Township, 2nd Class **MUNICIPALITY**

NOTICE OF COMPLETION

IN REFERENCE TO PROJECT # N/A

Name of Contractor _____

Performance of work as specified on the above numbered contract is completed and final pavement inspection has been made by the contractor and municipality in accordance with the terms of the contract awarded.

DATE OF AWARD _____

Signature of Municipality

Signature of Contractor

Both copies of this form to be filled by the Contractor-Municipality on completion of final pavement restoration.

THIS PORTION TO BE COMPLETED BY MUNICIPALITY

FINAL COMPLETION CERTIFICATE

By the affixing of my signature I hereby certify that final inspection has been made and all work has been performed in accordance with the above contract # and is hereby accepted by the municipality as completed.

Authorized Agent for the Municipality

*DATE _____

*** The contractor is responsible for maintenance of permanent pavement repairs for a period of one year from this date.**



COMMONWEALTH OF PENNSYLVANIA

PUBLIC WORKS EMPLOYMENT VERIFICATION FORM

Date _____

Business or Organization Name (Employer) _____

Address _____

City _____ State _____ Zip Code _____

Contractor Subcontractor (check one)

Contracting Public Body _____

Contract/Project No _____

Project Description _____

Project Location _____

As a contractor/subcontractor for the above referenced public works contract, I hereby affirm that as of the above date, our company is in compliance with the Public Works Employment Verification Act ("the Act") through utilization of the federal E-Verify Program (EVP) operated by the United States Department of Homeland Security. To the best of my/our knowledge, all employees hired post January 1, 2013 are authorized to work in the United States.

It is also agreed to that all public works contractors/subcontractors will utilize the federal EVP to verify the employment eligibility of each new hire within five (5) business days of the employee start date throughout the duration of the public works contract. Documentation confirming the use of the federal EVP upon each new hire shall be maintained in the event of an investigation or audit.

I, _____, authorized representative of the company above, attest that the information contained in this verification form is true and correct and understand that the submission of false or misleading information in connection with the above verification shall be subject to sanctions provided by law.

Authorized Representative Signature



MINIMUM QUALITY CONTROL PLAN FOR FIELD BITUMINOUS PAVING OPERATION

(Attach additional sheets as necessary)

Project # _____, State Route (S.R.) _____, Section _____, County _____

I. ORGANIZATIONAL CHART

<u>Personnel</u>	<u>Full Name</u>	<u>Responsibilities</u>
A. Company Representative	_____	Oversees entire project
B. Superintendent	_____	Oversees paving operations
C. Paving Foreman	_____	Oversees placement of material and related operations.
D. Certified Nuclear Gauge Operator	_____	Develops roller pattern and compaction data and acts as a point of contact for PennDOT personnel for all field testing and sampling. (Nuclear Gauge Operator does not need to be NECEPT Certified)
Operator's Certification No.	_____	
E. HMA Field Technician	_____	
NECEPT Certification No.:	_____	Expiration Date: _____

Note: Problems related to bituminous material, paving operations, shall be directed to the paving foreman listed above. If those problems are still unresolved the problems will be elevated to the superintendent. Any problems still unresolved will be directed to the company representative.

Bituminous Concrete Mix Designs

A. List Designs

Bituminous Supply Code	JMF Number and Year	State Route Number (If multiple S.R. are being paved)	Material (37.5mm Base, 19mm Binder, 12.5mm Wearing, etc.)	Asphalt (PG 58-22, PG 64-22, PG 76-22)	Design ESALS	Skid Resistance Level

III. MIX DELIVERY

- A. Trucks will be clean of all foreign materials.
- B. No petroleum oils, solvents, or other materials will be used as a release agent in the haul vehicles. The release agent used will be supplied by _____ having a trade name of _____.
- C. Material will be protected in accordance with 409.3(d)
- D. Material will be released for the day's operation according to the details developed with the on site Inspector.
- E. An adequate number of trucks will be utilized to facilitate paving continuity.
- F. All delivery trucks will be cleaned out at the following designated location, and not on the roadway in advance of the paving train.
- G. Trucks will be loaded, unloaded and, handled using practices and procedures that will help prevent segregation.

IV. SURFACE PREPARATION

- A. All areas will be cleaned of surface debris.
- B. Vertical and horizontal surfaces will be treated according to 409.3(g)1., or contract requirement.
- C. Certification of bituminous materials will be provided as specified.
- D. AET tack coat will be used and applied in accordance with Section 460 or unless indicated otherwise in the contract requirements.
- E. Bituminous material will not be placed until the tack coat has adequately cured.

V. MIX PLACEMENT

- A. Pavers will comply with the requirements of Section 409.3(e) and will be used and operated in accordance with Section 409.3(e).
- B. Automatic screed controls capable of producing a finished surface of specified evenness and texture will be used in accordance with Section 409.3(e).

VI. COMPACTION

- A. A sufficient quantity and type of rollers and compaction equipment will be used in accordance with Sections 409.3(f) and 409.3(i).

VII. JOINT CONSTRUCTION AND COMPACTION

- A. Joints will be offset by approximately 150 mm (6") from the previously placed layer.
- B. Surface course longitudinal joints will be placed at the approximate centerline of the roadway for 2 lane roadways and within 300 mm (12") of lane lines for roadways with more than 2 lanes.
- C. When specified or otherwise used notch wedge joints will be constructed according to RC-28M of the standard drawings..
- D. All transverse joints will be constructed perpendicular to the centerline of the roadway.
- E. All joints will meet the required surface tolerances as specified in Section 409.3(l).
- F. The entire area of all joint faces will be painted with a thin coating of the appropriate bituminous material.
- G. Joints will be compacted by the following means:

(Example: Rolling Hot to Cold Side, etc.) _____

VIII. SPECIAL PAVING CONDITIONS

- A. Method of placement and traffic control for intersections and other incidental work will be discussed and agreed upon by the Inspector and paving foreman no less than 3 days prior to the start of work.
 1. List those work items and agreements as discussed (Attached on a separate sheet).

IX. PROTECTION OF THE COURSES

A. Traffic will not be placed on fresh material unless the temperature is 60° C (140 °F) and stable or lower, as by determined by surface thermometers.

X. AMENDMENTS

If at any time during the course of the project a change to this quality control plan is agreed necessary by Company Representative (_____) and the Project Inspector, it can be amended by strike out and replacement followed by signatures in initial form and must be reported to the Project Engineer. A description of the change and reason for amendment should be noted in the Inspector's field diary for confirmation.

XI. PRE-PAVE MEETING

Conduct a pre-paving meeting with department, contractor, and supplier, as well as any other appropriate parties prior to the start of the paving operation. If indicated – Yes / No

Submitted By: _____
Reviewed By: _____

Date: _____
Date: _____



CERTIFICATE OF COMPLIANCE

INSTRUCTIONS TO COMPLETE THE FORM

1. COUNTY, LR/SR, SEC/SEG, ECMS#

To be completed by the party that will ship the material to the construction project, otherwise leave blank.

2. NAME OF MANUFACTURER, FABRICATOR, COATER, PRECASTER OR PRODUCER

- Check appropriate box; manufactured, fabricated, coated, precasted or produced as appropriate. If a single company performs more than one operation (e.g., a company manufactures and coats guiderail), more than one box may be checked.
- Also, provide the name and the supplier code of the manufacturer, fabricator, coater or precaster of the material listed in Bulletin #15 or the name and the supplier code of the Producer of material listed in Bulletin # 14, 41 or 42.
- To be completed by the party that is shipping approved material to the next destination.

3. MEETS SPECIFICATION REQUIREMENTS

To be completed by the party that is shipping approved material to the next destination.

4. SHIPPED TO

List the name of the company that material is being shipped to.

5. LOT NUMBER, QUANTITY, DESCRIPTION OF MATERIAL

To be completed by the party that is shipping approved material to the next destination.

6. CHECK THIS BLOCK IF YOUR PRODUCT CONTAINS IRON OR STEEL

To be completed by the party that is shipping approved material to the next destination.

7. VENDOR CLASSIFICATION (CHECK ONE BLOCK ONLY)

If you are a Manufacturer, Fabricator, Coater or Precaster listed in Bulletin #15, or a Producer listed in Bulletin # 14, 41 or 42, check block # 1.

If you are a *Distributor, *Supplier or *Private Label Company of Bulletin #15 items, check block # 2.

(* - These categories are not eligible for listing in Bulletin #15, however, you may provide material for PennDOT projects on condition that the material being shipped is listed in Bulletin #15.)

8. CERTIFICATION REQUIREMENTS, Name, Title, Date, Company Name, and Signature

Enter the required information and sign the Certificate of Compliance form.

9. COMPLETE LINE # 9 ONLY IF YOU CHECKED BLOCK # 2 ON LINE # 7, OTHERWISE LEAVE BLANK

List company that sold the material to you. (Company Name)

IN ADDITION:

- 2. & 5.** Private Label Companies who complete the Certificate of Compliance form CS-4171 must identify the true manufacturer (Line 2) and the approved material (Line 5) as it is listed in Bulletin # 15 under that manufacturers listing.

After completing the Certificate of Compliance form CS-4171, maintain the original at your company's location. A copy of the Certificate of Compliance form must accompany your material shipment to its next destination. Also, if you receive material shipments from other companies related to PennDOT projects, the accompanying Certificate of Compliance forms must be kept on file at your location. These files must be available for inspection and verification by a Department Representative for a period of not less than THREE years from the date of the last shipment.



CERTIFICATE OF COMPLIANCE

1. **◆COUNTY:** _____ **◆LR/SR:** _____ **◆SEC/SEG:** _____ **◆ECMS#:** _____
(◆ - To be completed by the party that will ship the material to the project, otherwise leave blank.)

2. I / WE hereby certify that the material listed on line 5 was:
If a single company performs more than one operation (e.g., a company manufactures and coats guiderail), more than one box may be checked.

- Manufactured Fabricated Coated Precasted Produced

By _____
(Name of Manufacturer, Fabricator, Coater, Precaster or Producer) (Supplier Code)

3. and the party listed above certifies that the material(s) on line 5 meets the requirements of
Publication 408, Section(s) _____
AASHTO, ASTM, Federal or other designation _____

4. The material listed below is being shipped to: _____
(Company Name)

5. LOT NO.	QUANTITY	APPROVED MATERIAL AS LISTED IN BULLETIN # 14 or 15 BULLETIN # 41 or 42 PRODUCERS, LIST HMA / PCC JMF.

6. **CHECK HERE IF YOUR PRODUCT CONTAINS IRON OR STEEL (AND check one of the following boxes, as appropriate.)** I / WE certify the material identified above conforms with Section 106.01 of Publication 408 as indicated below.
- 'Identifiable Steel' or Fabricated Structural Steel (Section 1105). Either Steel products that contain permanent markings that identify that the material was melted and manufactured in the United States or which have received in-plant inspection by the Department or a Department representative where verification of Mill Test reports was performed to verify conformance with the PA Steel Procurement Act. **Only Form CS-4171 is required.**
- 'Unidentified Steel' – Attach supporting documentation including invoices, bills of lading and mill test reports that positively identify that the steel was melted and manufactured in the United States.

All **manufacturing processes** including coatings application (e.g. epoxy, galvanizing, or painting) have occurred in the United States and we are maintaining copy(s), in our files in accordance with Section 106.03(b)3. Note: While coating materials themselves are not covered by Buy America, the application of these materials on steel or iron must occur in the United States.

7. **VENDOR CLASSIFICATION (CHECK ONE BLOCK ONLY) -**
- #1 **Manufacturer, Fabricator, Coater, Precaster Listed in Bulletin # 15, or Producer Listed in Bulletin # 14, 41 or 42**
I certify that the above statements are true and to the best of my knowledge, fairly and accurately describe the product(s) listed.
- #2 **Distributor, Supplier or *Private Label Company Not Listed in Bulletin # 15. Also, complete line 9**
I certify that the material being supplied is one and the same as provided to us by the manufacturer listed on this document and quantities listed above are accurate.

8. **NAME (print) :** _____ **TITLE:** _____

COMPANY NAME : _____

SIGNATURE : _____ **DATE:** _____
By Responsible Company Official (QC Staff only if you checked block #1 on line 7)

9. List company that sold you the material(s) documented above: _____
(Complete if you checked Block # 2 on line # 7, otherwise leave blank.) (Company Name)

DAILY BITUMINOUS MIXTURE CERTIFICATION

Plant: _____

Location: _____

Plant Code: _____

Job Mix Formula: _____ Material Class: _____

ESALS _____ SRL _____ PG Grade _____

Publication 408, Section: _____

Consigned to:

PennDOT Maintenance County _____ P.O. No. _____

Contractor _____ S.R. _____ Section _____

Group / P.O.C. No. _____ ECMS No. _____

Municipality _____ County _____

Wearing Course _____ Binder Course _____ Base Course _____

Tons (Tonnes) Shipped: _____

Daily AASHTO T209 Density: _____

VMA

Test Results	%AC	Pass #8	Pass #200	Specimen #1	Specimen #2
Daily No. 1					
Daily No. 2					
Daily No. 3					
Daily Avg.					
Running Avg. of 5					

I hereby certify that the material as listed above shipped on this date conforms fully with the specification requirements of the Pennsylvania Department of Transportation. Our records, attesting to this statement, are open for inspection by a Department Representative for not less than THREE years from the date of shipment.

Non original signatures are unacceptable

NAME (print): _____ TITLE: _____

SIGNATURE: _____ DATE SHIPPED: _____